

Centers for Medicare and Medicaid Services
Enforcement Actions Against Medicare Advantage Organizations and Prescription Drug Sponsors
January 2006-January 2008

Organization Name	Contract Number/Contract Type	Date Issued	Basis for Action	Action Taken
Health Net	S5678 (PDP)	Jan-08	Multiple Enrollment Processing Violations; Incorrect Annual Notice of Change	Marketing and Enrollment Freeze
SDM HealthCare	H4009 (MAPD)	Dec-07	Multiple Violations	Marketing and Enrollment Freeze
Chesapeake Life	H7845-PFFS	Oct-07	Marketing Violations	Marketing and Enrollment Freeze
Coventry	H0846-PFFS	Sep-07	Marketing Violations	\$264,000 Civil Money Penalty
Humana	H1804 (MA-PD), R5826 (RPPO), S5884 (PDP)	Sep-07	Marketing Violations	\$75,000 Civil Money Penalty
SunCoast	H5942 (MA-PD)	Aug-07	Insolvency	Immediate Termination of Medicare Advantage Contract
America's Health Choice Medical Plan	H1034 (MA-PD)	Jul-07	Multiple Violations	Immediate Termination of Medicare Advantage Contract
America's Health Choice Medical Plan	H1034 (MA-PD)	Apr-07	Multiple Violations	Proposed Non-renewal of Medicare Advantage Contract
Torchmark-First United American	S5580 (PDP)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$15,000 Civil Money Penalty
Florida Health	H1035 (MA-PD)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$10,000 Civil Money Penalty
Freedom Health	H5427 (MA-PD)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$5,000 Civil Money Penalty
Vista Health	H1076 H5850 (MA/PD s)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$11,050 Civil Money Penalty
HealthNet	S5678 (PDP)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$10,000 Civil Money Penalty
HealthNet	H0755 (MA-PD)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$15,000 Civil Money Penalty
SunCoast	H5942 (MA-PD)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$2,100 Civil Money Penalty
Wellpoint	S5596 S5726 (PDP)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$20,000 Civil Money Penalty
United HealthCare	S5805 S5820 S5921(PDP s)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$75,000 Civil Money Penalty

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United HealthCare	H0151, H0316, H0319, H0401, H0620, H0624, H0710, H1080, H1108, H1111, H1303, H1509, H1717, H2001, H2003, H2111, H2228, H2406, H2408, H2624, H2802, H2803, H3209, H3379, H3456, H3659, H3812, H3912, H3921, H4102, H4406, H4456, H4522, H4604, H5008, H5253, H5417, H5440, H5500, H5507, H5516, H5527, H5532, H9011 (MA-PDs), R3175, R5287 (RPPOs)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$130,000 Civil Money Penalty
Humana	H0307, H1036, H1406, H1407, H1804, H2649, H4510 (MA-PDs), R5826 (RPPO)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$120,000 Civil Money Penalty
Elder Health	S1566 S5822 (PDP)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$4,000 Civil Money Penalty
Elder Health	H2108 H3949 H4528 (MA/PDs)	Mar-07	Failure to Issue Annual Notice of Change in a Timely Manner	\$15,000 Civil Money Penalty
Universal	H5820 (MA-PD)	Feb-07	Financial Solvency Concerns	Suspension of Marketing and Enrollment
Doctor Care, Inc.	H5411 (MA-PD)	Dec-06	Financial Solvency Concerns	Termination
Doctor Care, Inc.	H5411 (MA-PD)	Oct-06	Financial Solvency Concerns	Suspension of enrollment and marketing